

12-24-02

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/248,756
Filing Date	February 12, 1999
First Named Inventor	Laurie H. Glimcher
Art Unit	1636
Examiner Name	Gerald Leffers, Jr.
Attorney Docket Number	HUI-21CNRCE

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

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1. Submission required under 37 CFR 1.114

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply (8 pages)

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Other Terminal Disclaimer (2 pages)

2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 12-0080

i. ☐ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☒ Other Any overpayments or any underpayments.

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print /Type)	Cynthia L. Kanik, Ph.D.	Registration No. (Attorney/Agent)	37,320
Signature	<i>Cynthia Kanik</i>	Date	December 20, 2002

12/27/2002 AWONDAF1 00000118 120080 09248756  
01 FC:2801 370.00 CH

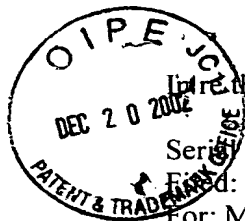
"Express Mail" mailing label number EL 931 680 458 US

Date of Deposit December 20, 2002

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box RCE, Washington, DC 20231

*Larry Taylor*  
Signature

Larry Taylor  
Please Print Name of Person Signing



In re the application of Laurie H. Glimcher and  
I-Cheng Ho

Serial No. 09/248,756

Filed: January 31, 1997

For: METHODS AND COMPOSITIONS FOR  
REGULATING T CELL SUBSETS BY MODULATING  
TRANSCRIPTION FACTOR ACTIVITY

Case Docket No. HUI-021CN

Group Art Unit: 1636

Examiner: Gerald Leffers

DEC 30 2002

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COMMISSIONER FOR PATENTS

Box RCE

Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified application are the following:

- ☒ Request for Continued Examination (RCE) Transmittal (1 page);
- ☒ Amendment and Response (8 pages, including 1 page of Appendix A and 2 pages of Appendix B);
- Terminal Disclaimer (2 pages, total); and
- ☒ A Pre-Paid Acknowledgment Postcard.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA
TOTAL	* 13	MINUS	** 21	=	0
INDEP.	* 1	MINUS	*** 3	=	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					

SMALL ENTITY	
RATE	ADDIT. FEE
x 9 =	\$0.00
x 40 =	\$0.00
+140 =	\$0.00
TOTAL ADDIT. FEE	\$0.00

OR

OTHER THAN A SMALL ENTITY	
RATE	ADDIT. FEE
x 18 =	\$0.00
x 80 =	\$0.00
+ 280 =	\$0.00
TOTAL	\$0.00

- \* If the entry in Col 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.
  - ☒ The amount of \$370.00 to cover the RCE filing fee based on small entity status.
  - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Please charge any additional fees or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. For this purpose, a duplicate copy of this sheet is enclosed.

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Date of Deposit December 20, 2002

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RCE, Washington, DC 20231


Signature

Larry Taylor

Please Print Name of Person Signing

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
Cynthia L. Kanik, Ph.D.  
Reg. No. 37,320  
28 State Street  
Boston, MA 02109  
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#19/E  
RD  
1-6-03

In re the application of: Laurie H. Glimcher and  
I-Cheng Ho

Group Art Unit: 1636

Serial No.: 09/248,756

Examiner: Gerald Leffers, Jr.

Filed: February 12, 1999

For: METHODS AND COMPOSITIONS FOR  
REGULATING T CELL SUBSETS BY  
MODULATING TRANSCRIPTION FACTOR  
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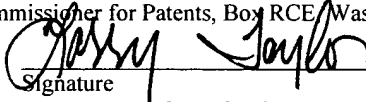
Attorney Docket No.: HUI-021CN

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Signature

Larry Taylor

Please Print Name of Person Signing

**AMENDMENT AND RESPONSE UNDER 37 CFR § 1.116**

Dear Sir:

In lieu of an Appeal Brief, Applicants submit the following Amendment and Response to address the rejections set forth in the Final Office Action dated April 24, 2002. Request for Continuing Examination (RCE) is being filed concurrently herewith. Accordingly, kindly amend the above-identified application as follows.: